

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No. 745969-129	
		First Inventor Warren FINLAY et al.	
		Title DEVICE AND METHOD FOR DEAGGLOMERATION OF POWDER FOR INHALATION	
		Express Mail Label No.	
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 ADDRESS TO:	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 15] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 4]</p> <p>5. Oath or Declaration [Total Pages 2]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)</p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>		<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Other: Associate Power of Attorney</p>	
<p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____/_____</p> <p>Prior application information: Examiner: _____ Group / Art Unit: _____</p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>			
<b>19. CORRESPONDENCE ADDRESS</b>			
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		22204 (Insert Customer No. or Attach bar code label here)	
Name		or <input type="checkbox"/> Correspondence address below	
Address			
City		State Zip Code	
Country		Telephone Fax	
Name (Print/Type) Marc S. Kaufman		Registration No. (Attorney/Agent) 35,212	
Signature		Date December 2, 2003	

16834 U.S. PTO  
10/724774  
120203

# FEE TRANSMITTAL FOR FY 2003

*Patent fees are subject to annual revision.*

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** \$425.00

*Complete if Known*

Application Number	New Application
Filing Date	December 2, 2003
First Named Inventor	Warren FINLAY et al.
Examiner Name	
Art Unit	
Attorney Docket No.	745969-129

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 19-2380 (745969-129)

Deposit Account Name Nixon Peabody LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	\$385
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

**SUBTOTAL (1)** \$385.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims 18 -20\*\* = 0 X Fee from below = Fee Paid

Independent Claims 2 -3\*\* = 0 X Fee from below = Fee Paid

Multiple Dependent X Fee from below = Fee Paid

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** \$

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	420	2252	210	Extension for reply within second month
1253	950	2253	475	Extension for reply within third month
1254	1,480	2254	740	Extension for reply within fourth month
1255	2,010	2255	1,005	Extension for reply within fifth month
1401	330	2401	165	Notice of Appeal
1402	330	2402	165	Filing a brief in support of an appeal
1403	290	2403	145	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1,330	2453	665	Petition to revive - unintentional
1501	1,330	2501	665	Utility issue fee (or reissue)
1502	480	2502	240	Design issue fee
1503	640	2503	320	Plant issue fee
1460	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))
1801	770	2801	385	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application


Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** \$40.00

## SUBMITTED BY

Name (Print/Type) Mare S. Kaufman

Signature 

Registration No. (Attorney/Agent) 35,212

## Complete (if applicable)

Telephone (202) 585-8000

Date December 2, 2003



*Brouillette Kosie Prince*

AGENTS S. E. N. C.

PATENT AND TRADE MARK

December 1<sup>st</sup>, 2003

**The Honorable Commissioner  
of Patents and Trademarks**

P.O. Box 1450  
Alexandria, VA 22313-1450  
U.S.A.

Re : New US patent application  
Title : BEHAVIOR REGULATING TOY AND FEEDER APPARATUS  
Applicant : Stéphane Meder; Mark Garon; Richard Garon; Michel Garon  
Our file : 03488-008

---

**FILING UNDER 37 CFR 1.41(C)**

Dear Madam/Sir:

The undersigned attorney has been authorized by the applicant to file the above-captioned patent application on his behalf.

The application is to be filed in accordance with the enclosed filing particulars.

Please use our deposit account number 02-3980 for the payment of the prescribed fees, i.e. US \$385.00.

The Government filing fee is calculated as follows:

FOR	NO. FILED	NO. EXTRA	SMALL ENTITY RATE	FEE
BASIC FEE				385
TOTAL CLAIMS	1 - (20)	0		0
TOTAL INDEP CLAIMS	1 (3)			0
MULTIPLE DEPENDENT CLAIM PRESENTED				0
TOTAL:				385

---

*Affiliated with Brouillette Charpentier Fortin, Lawyers*

Montréal Québec

1100 René-Lévesque Blvd. West, 25<sup>th</sup> Floor, Montréal, Québec H3B 5C9  
Telephone: (514) 397-8500 Fax: (514) 397-8515 [www.bcf.ca](http://www.bcf.ca)

Correspondence concerning the application should be addressed to:

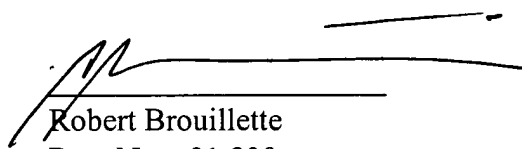
Ronald S. Kosie (Reg. No.: 28,814)  
Robert Brouillette (Reg. No.: 31,930)  
Gaetan Prince (Reg. No.33,107)  
BROUILLETTE KOSIE PRINCE  
1100 René-Lévesque Boulevard West,  
25th Floor  
Montreal, Quebec  
Canada H3B 5C9

If any other fees whatsoever are due, the US Patent Office is also hereby authorized to charge any such additional fees (or deposit any excess whatsoever ) to our Deposit Account no. 02-3980.

All telephone conversations should be directed to Ronald S. Kosie or Robert Brouillette or Gaetan Prince at (514) 397-8500.

Respectfully submitted,

BROUILLETTE KOSIE PRINCE



Robert Brouillette  
Reg. No.: 31,930  
1100 René-Lévesque Blvd. West  
25th Floor  
Montreal, Quebec, H3B 5C9  
Canada

Encl.: Filing particulars



*Brouillette Kosie Prince*